

## Chapter II

# The geometry of classical groups

We denote by  $V$  a vector space over the field  $\mathbb{F}$ . For simplicity we assume that its dimension is finite. Our main references here will be [11], [14], [15] and [21].

### 1 Sesquilinear forms

Let  $\sigma$  be an automorphism of  $\mathbb{F}$  with  $\sigma^2 = \text{id}$ . Set  $\alpha^\sigma := \sigma(\alpha)$  for all  $\alpha \in \mathbb{F}$ .

**(1.1) Definition** A  $\sigma$ -sesquilinear form on  $V$  is a map  $(\ , \ ) : V \times V \rightarrow \mathbb{F}$  such that, for every  $\lambda, \mu \in \mathbb{F}$  and for every  $u, v, w \in V$ :

$$(1) \quad (u, v + w) = (u, v) + (u, w),$$

$$(2) \quad (u + v, w) = (u, w) + (v, w),$$

$$(3) \quad (\lambda u, \mu v) = \lambda \mu^\sigma (u, v).$$

The form is said to be:

i) bilinear symmetric if  $\sigma = \text{id}_{\mathbb{F}}$  and  $(v, w) = (w, v), \forall v, w \in V$ ;

ii) bilinear antisymmetric if  $\sigma = \text{id}_{\mathbb{F}}$  and  $(v, v) = 0, \forall v \in V$ ;

iii) hermitian if  $\sigma \neq \text{id}_{\mathbb{F}}, \sigma^2 = \text{id}_{\mathbb{F}}$  and  $(v, w) = (w, v)^\sigma, \forall v, w \in V$ ;

iv) non singular if, for every  $v \in V \setminus \{0_V\}$ , there exists  $u \in V$  such that  $(u, v) \neq 0_{\mathbb{F}}$ .

**(1.2) Definition**  $V$  is non-singular (or non-degenerate) when the form is non-singular.

**(1.3) Lemma** If the form is bilinear antisymmetric, then:

$$(v, w) = -(w, v), \quad \forall v, w \in V.$$

*Proof*

$$0 = (v+w, v+w) = (v, v) + (v, w) + (w, v) + (w, w) = (v, w) + (w, v) \implies (v, w) = -(w, v).$$

■

**(1.4) Definition** Let  $V, V'$  be vector spaces over  $\mathbb{F}$ , endowed with sesquilinear forms

$$(\cdot, \cdot) : V \times V \rightarrow \mathbb{F}, \quad (\cdot, \cdot)' : V' \times V' \rightarrow \mathbb{F}.$$

(1) An isometry from  $V$  to  $V'$  is an invertible element  $f \in \text{Hom}_{\mathbb{F}}(V, V')$  such that

$$(f(v), f(w))' = (v, w), \quad \forall v, w \in V.$$

(2) The spaces  $(V, \mathbb{F}, (\cdot, \cdot))$  and  $(V', \mathbb{F}, (\cdot, \cdot)')$  are called isometric if there exists an isometry  $f : V \rightarrow V'$ .

**(1.5) Lemma** When  $V = V'$ , the set of isometries of  $V$  is a subgroup of  $\text{Aut}_{\mathbb{F}}(V)$ , called the group of isometries of the form  $(\cdot, \cdot)$ .

The proof is left as an exercise.

**(1.6) Theorem (Witt's Extension Lemma)** Let  $V$  be equipped with a non-degenerate form, either bilinear (symmetric or antisymmetric) or hermitian. Let  $U$  and  $W$  be subspaces and suppose that

$$\tau : U \rightarrow W$$

is an isometry with respect to the restriction of the form to  $U$  and  $W$ , Then there exists an isometry  $\hat{\tau} : V \rightarrow V$  which extends  $\tau$ , namely such that  $\hat{\tau}|_U = \tau$ .

For the proof of this important result see [1, page 81] or [14, page 367].

## 2 The matrix approach

Given a  $\sigma$ -sesquilinear form  $(\cdot, \cdot)$  on  $V$ , let us fix a basis  $\mathcal{B} = \{v_1, \dots, v_n\}$  of  $V$  over  $\mathbb{F}$ .

**(2.1) Definition** The the matrix  $J$  of the above form with respect to  $\mathcal{B}$  is defined by

$$J := ((v_i, v_j)), \quad 1 \leq i, j \leq n.$$

Given  $v = \sum_{i=1}^n k_i v_i$ ,  $w = \sum_{i=1}^n h_i v_i$  in  $V$ , it follows from the axioms that

$$(2.2) \quad (v, w) = \sum_{i,j=1}^n k_i h_j^\sigma (v_i, v_j) = v_{\mathcal{B}}^T J w_{\mathcal{B}}^\sigma, \quad \forall v, w \in V.$$

**(2.3) Lemma**  $J$  is the only matrix of  $\text{Mat}_n(\mathbb{F})$  which satisfies (2.2) for the given form.

*Proof* Let  $A = (a_{ij}) \in \text{Mat}_n(\mathbb{F})$  satisfy  $(v, w) = v_{\mathcal{B}}^T A w_{\mathcal{B}}^{\sigma}$  for all  $v, w$  in  $V$ .

Letting  $v, w$  vary in  $\mathcal{B}$  and noting that  $v_{i\mathcal{B}} = e_i$ ,  $1 \leq i \leq n$  we have:

$$(v_i, v_j) = v_{i\mathcal{B}}^T A v_{j\mathcal{B}}^{\sigma} = e_i^T A e_j = a_{ij}, \quad 1 \leq i, j \leq n.$$

We conclude that  $J = A$ . ■

**(2.4) Lemma** Let  $J$  be the matrix of a  $\sigma$ -sesquilinear form  $(, )$  on  $V$ .

- (1) If  $\sigma = \text{id}_{\mathbb{F}}$ , then the form is symmetric if and only if  $J^T = J$ ;
- (2) if  $\sigma = \text{id}_{\mathbb{F}}$ , then the form is antisymmetric if and only if  $J^T = -J$ ;
- (3) if  $\sigma$  has order 2, then the form is hermitian if and only if  $J^T = J^{\sigma}$ .

Moreover the form  $(, )$  is non-degenerate if and only if  $\det J \neq 0$ .

**(2.5) Lemma** Let  $J \in \text{Mat}_n(\mathbb{F})$  be the matrix of a sesquilinear form on  $V$  with respect to a basis  $\mathcal{B}$ . Then  $J' \in \text{Mat}_n(\mathbb{F})$  is the matrix of the same form with respect to a basis  $\mathcal{B}'$  if and only if  $J$  and  $J'$  are cogradient, namely if there exists  $P$  non-singular such that:

$$(2.6) \quad J' = P^T J P^{\sigma}.$$

*Proof* Let  $J'$  be the matrix of the form with respect to  $\mathcal{B}' = \{v'_1, \dots, v'_n\}$ . Then:

$$(2.7) \quad v_{\mathcal{B}}^T J w_{\mathcal{B}}^{\sigma} = v_{\mathcal{B}'}^T J' w_{\mathcal{B}'}^{\sigma}, \quad \forall v, w \in V.$$

Setting  $P := ( (v'_1)_{\mathcal{B}} \mid \dots \mid (v'_n)_{\mathcal{B}} )$ , we have  $v_{\mathcal{B}} = P v_{\mathcal{B}'}$  for all  $v \in V$ . It follows:

$$(2.8) \quad v_{\mathcal{B}}^T J w_{\mathcal{B}}^{\sigma} = (v_{\mathcal{B}'}^T P^T) J (P^{\sigma} w_{\mathcal{B}'}^{\sigma}) = v_{\mathcal{B}'}^T (P^T J P^{\sigma}) w_{\mathcal{B}'}^{\sigma}, \quad \forall v, w \in V.$$

Comparing (2.7) with (2.8) we get  $J' = P^T J P^{\sigma}$ .

Vice versa, let  $J' = P^T J P^{\sigma}$ , for some non-singular  $P$ . Set  $\mathcal{B}' = \{v'_1, \dots, v'_n\}$  where  $(v'_i)_{\mathcal{B}} = P e_i$ . Then  $\mathcal{B}'$  is a basis of  $V$  and  $v_{\mathcal{B}} = P v_{\mathcal{B}'}$  for all  $v \in V$ . From (2.8) it follows that  $J'$  is the matrix of the form with respect to  $\mathcal{B}'$ . ■

### (2.9) Theorem

- (1) Let  $J$  be the matrix of a sesquilinear form on  $V = \mathbb{F}^n$  with respect to the canonical basis  $\mathcal{B}$ . Then its group of isometries is the subgroup:

$$H := \{h \in \text{GL}_n(\mathbb{F}) \mid h^T J h^\sigma = J\}.$$

- (2) Let  $\mathcal{B}'$  be another basis of  $\mathbb{F}^n$ . Then the group of isometries of the same form is:

$$P^{-1} H P$$

where  $P$  is the matrix of the change of basis from  $\mathcal{B}$  to  $\mathcal{B}'$ .

*Proof*

- (1) If  $\mathcal{B} = \{e_1, \dots, e_n\}$  is the canonical basis, we have  $v = v_{\mathcal{B}}$  for all  $v \in V$ . Thus:

$$(v, w) = v^T J w^\sigma, \quad \forall v, w \in V.$$

It follows that an element  $h \in \text{GL}_n(\mathbb{K})$  is an isometry if and only if:

$$v^T J w^\sigma = (hv)^T J (hw)^\sigma = v^T (h^T J h^\sigma) w^\sigma, \quad \forall v, w \in \mathbb{F}^n.$$

Equivalently  $h$  is an isometry if and only if

$$e_i^T J e_j = e_i^T (h^T J h^\sigma) e_j, \quad 1 \leq i, j \leq n \iff J = h^T J h^\sigma.$$

- (2)  $J' = P^T J P^\sigma$  is the matrix of the form with respect to  $\mathcal{B}'$ . For every  $h \in H$  we have:

$$(P^{-1} h P)^T J' (P^{-1} h P)^\sigma = J' \iff h^T J h^\sigma = J.$$

■

## 3 Orthogonality

Let  $(, ) : V \times V \rightarrow \mathbb{F}$  be a bilinear (symmetric or antisymmetric) or an hermitian form.

- (3.1) **Definition** Two vectors  $u, w \in V$  are said to be orthogonal if  $(u, w) = 0_{\mathbb{F}}$ .

- (3.2) **Lemma** For every  $W \subseteq V$  the subset

$$W^\perp := \{v \in V \mid (v, w) = 0, \forall w \in W\}$$

is a subspace, called the subspace orthogonal to  $W$ .

- (3.3) **Definition** Let  $W$  be a subspace of  $V$ . Then  $W$  is said to be

(1) totally isotropic (or totally singular) if  $W \leq W^\perp$ ;

(2) non-degenerate if  $\text{rad}(W) := W \cap W^\perp = \{0_V\}$ .

Clearly  $V$  non singular  $\iff \text{rad}(V) = \{0_V\}$ .

**(3.4) Lemma** *If  $V$  is non-degenerate then, for every subspace  $W$  of  $V$ :*

$$\dim W^\perp = \dim V - \dim W.$$

*In particular:*

(1)  $(W^\perp)^\perp = W$ ;

(2) the dimension of a totally isotropic space is at most  $\frac{\dim V}{2}$ .

*Proof* Let  $\mathcal{B}_W = \{w_1, \dots, w_m\}$  be a basis of  $W$ . For every  $v \in V$  we have:

$$(3.5) \quad v \in W^\perp \iff (w_i, v) = 0_{\mathbb{F}}, \quad 1 \leq i \leq m.$$

Extend  $\mathcal{B}_W$  to a basis  $\mathcal{B} = \{w_1, \dots, w_m, w_{m+1}, \dots, w_n\}$  of  $V$  and let  $J$  be the matrix of the form with respect to  $\mathcal{B}$ . From  $(w_i)_{\mathcal{B}} = e_i$ ,  $1 \leq i \leq m$ , it follows:

$$(3.6) \quad v \in W^\perp \iff e_i^T J v_{\mathcal{B}} = 0_{\mathbb{F}}, \quad 1 \leq i \leq m.$$

Since  $J$  is non-degenerate, its rows are independent. Hence the  $m$  equations of the linear homogeneous system (3.6) are independent. This system has  $n$  indeterminates, so the space of solutions has dimension  $n - m$ . We conclude that  $W^\perp$  has dimension

$$n - m = \dim V - \dim W.$$

(1)  $W \leq (W^\perp)^\perp$  and  $\dim (W^\perp)^\perp = \dim V - \dim W^\perp = \dim W$ .

(2) Let  $W$  be totally isotropic, i.e.,  $W \leq W^\perp$ . Then:

$$\dim W \leq \dim W^\perp = \dim V - \dim W \implies 2 \dim W \leq \dim V.$$

■

**(3.7) Definition** *Let  $U, W$  be subspaces of  $V$ . We write  $V = U \perp W$  and say that  $V$  is an orthogonal sum of  $U$  and  $W$  if  $V = U \oplus W$  and  $U$  is orthogonal to  $W$ , namely if:*

- (1)  $V = U + W$ ;
- (2)  $U \cap W = \{0_V\}$ ;
- (3)  $U \leq W^\perp$ .

**(3.8) Corollary** *If  $V$  and  $W$  are non-degenerate, then*

$$V = W \perp W^\perp.$$

*Moreover  $W^\perp$  is non-degenerate.*

*Proof* Since  $V$  is non-degenerate, Lemma 3.4 gives  $\dim V = \dim W + \dim W^\perp$ . Since  $W$  is non-degenerate, we have  $W \cap W^\perp = \{0\}$ . It follows  $V = W \oplus W^\perp$ . Finally  $W^\perp$  is non-degenerate as  $W^\perp \cap (W^\perp)^\perp = W^\perp \cap W = \{0\}$ . ■

As a consequence of Witt's Lemma, we have the following:

**(3.9) Corollary** *Let  $V$  be endowed with a non-degenerate, either bilinear (symmetric or antisymmetric) or hermitian form. Then all the maximal totally isotropic subspaces have the same dimension, which is at most  $\frac{\dim V}{2}$ .*

*Proof* Let  $M$  be a totally isotropic subspace of largest possible dimension  $m$ . Clearly  $M$  is a maximal totally isotropic subspace. Take any totally isotropic subspace  $U$ . Since  $\dim U \leq m$ , there exists an injective  $\mathbb{F}$ -linear map  $\tau : U \rightarrow M$ . Now  $\tau : U \rightarrow \tau(U)$  is an isometry, as the restriction of the form to  $U$  and to  $\tau(U)$  is the zero-form. By theorem 1.6, there exists an isometry  $\hat{\tau} : V \rightarrow V$  which extends  $\tau$ . Thus  $U \leq \hat{\tau}^{-1}(M)$  with  $\hat{\tau}^{-1}(M)$  totally isotropic as  $\hat{\tau}^{-1}$  is an isometry of  $V$ . If  $U$  is a maximal totally isotropic subspace, then  $U = \hat{\tau}^{-1}(M)$  has dimension  $m$ . By Lemma 3.4 we have  $m \leq \frac{\dim V}{2}$ . ■

## 4 Symplectic spaces

**(4.1) Definition** *A vector space  $V$  over  $\mathbb{F}$ , endowed with a non-degenerate antisymmetric bilinear form is called symplectic.*

**(4.2) Theorem** *Let  $V$  be a symplectic space over  $\mathbb{F}$ , of dimension  $n$ . Then:*

- (1)  $n = 2m$  is even;

(2) there exists a basis  $\mathcal{B}$  of  $V$  with respect to which the matrix of the form is:

$$(4.3) \quad J = \begin{pmatrix} \mathbf{0} & I_m \\ -I_m & \mathbf{0} \end{pmatrix}.$$

*Proof* Induction on  $n$ .

Suppose  $n = 1$ ,  $V = \mathbb{F}v$ ,  $0 \neq v \in V$ . For every  $\lambda, \mu \in \mathbb{F}$ :  $(\lambda v, \mu v) = \lambda\mu(v, v) = 0_{\mathbb{F}}$ , in contrast with the assumption that  $V$  is non degenerate. Hence  $n \geq 2$ .

Fix a non-zero vector  $v_1 \in V$ . There exists  $w \in V$  such that  $(v_1, w) \neq 0_{\mathbb{F}}$ . In particular  $v_1$  e  $w$  are linearly independent. Setting  $w_1 := \lambda^{-1}w$ , we have:

$$(v_1, w_1) = (v_1, \lambda^{-1}w) = \lambda^{-1}(v_1, w) = 1_{\mathbb{F}}.$$

If  $n = 2$  our claim is proved since the matrix of the form w. r. to  $\mathcal{B} = \{v_1, w_1\}$  is

$$J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}.$$

If  $n > 2$  we note that the subspace  $W := \langle v_1, w_1 \rangle$  is non-singular. Thus:

$$V = W \perp W^{\perp}.$$

$W^{\perp}$  is non-degenerate, hence it is a symplectic space of dimension  $n - 2$ . By induction on  $n$  we have that  $n - 2 = 2(m - 1)$  whence  $n = 2m$ , and moreover that  $W^{\perp}$  admits a basis  $\{v_2, \dots, v_m, w_2, \dots, w_m\}$  with respect to which the matrix of the form is

$$J_{W^{\perp}} = \begin{pmatrix} \mathbf{0} & I_{m-1} \\ -I_{m-1} & \mathbf{0} \end{pmatrix}.$$

Choosing  $\mathcal{B} = \{v_1, v_2, \dots, v_m, w_1, w_2, \dots, w_m\}$  we obtain our claim. ■

**(4.4) Definition** *The group of isometries of a symplectic space  $V$  over  $\mathbb{F}$  of dimension  $2m$  is called the symplectic group of dimension  $2m$  over  $\mathbb{F}$  and indicated by  $\mathrm{Sp}_{2m}(\mathbb{F})$ .*

By the previous considerations, up to conjugation we may assume:

$$\mathrm{Sp}_{2m}(\mathbb{F}) = \{g \in \mathrm{GL}_{2m}(\mathbb{F}) \mid g^T J g = J\}.$$

where  $J$  is as in (4.3). The subspace  $\langle e_1, \dots, e_m \rangle$ , is a maximal totally isotropic space.

## 5 Some properties of finite fields

In contrast with the symplectic case, the classification of the non-singular, bilinear symmetric or hermitian forms, depends on the field  $\mathbb{F}$  and may become very complicated. Thus our treatment will need further assumptions on  $\mathbb{F}$ . Since our interest is focused on finite fields, we will recall here a few specific facts, needed later, assuming the basic properties. As usual  $\mathbb{F}_q$  denotes the finite field of order  $q$ , a prime power.

Consider the homomorphism  $f : \mathbb{F}_q^* \rightarrow \mathbb{F}_q^*$  defined by  $f(\alpha) := \alpha^2$ . Clearly  $\text{Ker } f = \langle -1 \rangle$ . If  $q$  is odd,  $\text{Ker } f$  has order 2. In this case  $\text{Im } f$ , the set of non-zero squares in  $\mathbb{F}_q$ , has order  $\frac{q-1}{2}$ . Moreover, for any  $\epsilon \in \mathbb{F}_q^* \setminus \text{Im } f$ , the coset  $(\text{Im } f)\epsilon = \{\alpha^2\epsilon \mid \alpha \in \mathbb{F}_q^*\}$  is the set of *non-squares*.

If  $q$  is even,  $\text{Ker } f$  has order 1. So  $f$  is surjective, i.e., every element of  $\mathbb{F}_q$  is a square.

**(5.1) Lemma** *Every element of  $\mathbb{F}_q$  is the sum of two squares.*

*Proof* By what observed above we may suppose  $q$  odd. Consider the set

$$X := \{\alpha^2 + \beta^2 \mid \alpha, \beta \in \mathbb{F}_q\}.$$

Note that  $|X|$  does not divide  $q = |\mathbb{F}_q|$ , since:

$$|X| \geq \frac{q-1}{2} + 1 = \frac{q+1}{2} > \frac{q}{2}.$$

If every element of  $X$  were a square,  $X$  would be an additive subgroup of  $\mathbb{F}_q$ , in contrast with Lagrange's Theorem. So there exists a non-square  $\epsilon \in X$ . Write  $\epsilon = \gamma^2 + \delta^2$ . It follows that every non-square is in  $X$ . Indeed a non-square has shape  $\alpha^2\epsilon = (\alpha\gamma)^2 + (\alpha\delta)^2$ .

■

$\text{Aut}(\mathbb{F}_{p^a}) = \text{Gal}_{\mathbb{F}_p}(\mathbb{F}_{p^a})$  has order  $a$ . So  $\text{Aut}(\mathbb{F}_{p^a})$  is generated by the Frobenius automorphism  $\alpha \mapsto \alpha^p$ , which has order  $a$ . It follows that  $\mathbb{F}_{p^a}$  has an automorphism  $\sigma$  of order 2 if and only if  $a = 2b$  is even. In this case, we set  $q = p^b$ , so that  $\mathbb{F}_{p^a} = \mathbb{F}_{q^2}$ .

The automorphism  $\sigma : \mathbb{F}_{q^2} \rightarrow \mathbb{F}_{q^2}$  of order 2 is the map:  $\alpha \mapsto \alpha^q$ . Moreover  $\alpha\alpha^q \in \mathbb{F}_q$  for all  $\alpha \in \mathbb{F}_{q^2}$ , since  $(\alpha\alpha^q)^q = \alpha\alpha^q$ .

**(5.2) Theorem** *The Norm map  $N : \mathbb{F}_{q^2} \rightarrow \mathbb{F}_q$  defined by  $N(\alpha) := \alpha\alpha^q$ , is surjective.*

*Proof* The restriction of  $N$  to  $\mathbb{F}_{q^2}^*$  is a group homomorphism into  $\mathbb{F}_q^*$ . Its kernel consists of the roots of  $x^{q+1} - 1$ , hence has order  $\leq q + 1$ . Thus its image has order  $q - 1$ . ■



## 6 Unitary and orthogonal spaces

We recall that  $\sigma$  denotes an automorphism of the field  $\mathbb{F}$  such that  $\sigma^2 = \text{id}$ . More precisely,  $\sigma = \text{id}$  in the orthogonal case,  $\sigma \neq \text{id}$  in the hermitian case.

**(6.1) Lemma** *Consider a non-degenerate, bilinear symmetric or hermitian form  $(\cdot, \cdot) : V \times V \rightarrow \mathbb{F}$ . If  $\text{char } \mathbb{F} = 2$  assume that the form is hermitian. Then  $V$  admits an orthogonal basis, i.e., a basis with respect to which the matrix of the form is diagonal.*

*Proof* We first show that there exists  $v$  such that  $(v, v) \neq 0$ . This is clear when  $\dim V = 1$ , since the form is non-degenerate. So suppose  $\dim V > 1$ .

For a fixed non-zero  $u \in V$ , there exists  $w \in V$  such that  $(u, w) \neq 0_{\mathbb{F}}$ . Clearly we may assume  $(u, u) = (w, w) = 0$ . If  $\text{char } \mathbb{F} \neq 2$ , setting  $\lambda = (u, w)$ ,  $v = \lambda^{-1}u + w$  we have:

$$(v, v) = \lambda^{-1}(u, w) + (\lambda^{-1})^{\sigma}(w, u) = \lambda^{-1}\lambda + (\lambda^{\sigma})^{-1}\lambda^{\sigma} = 2 \cdot 1_{\mathbb{F}} \neq 0_{\mathbb{F}}.$$

If  $\text{char } \mathbb{F} = 2$ , the form is hermitian by assumption. So there exists  $\alpha \in \mathbb{F}$  such that  $\alpha^{\sigma} \neq \alpha$ . In this case, setting  $v = \lambda^{-1}\alpha u + w$  we have  $(v, v) = \alpha + \alpha^{\sigma} = \alpha - \alpha^{\sigma} \neq 0_{\mathbb{F}}$ .

Induction on  $\dim V$ , applied to  $\langle v \rangle^{\perp}$ , gives the existence of an orthogonal basis of  $V$ . ■

**(6.2) Remark** *The hypothesis  $\text{char } \mathbb{F} \neq 2$  when the form is bilinear symmetric, is necessary. Indeed the matrix  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  defines a non-degenerate symmetric form on  $V = \mathbb{F}_2^2$ . Since  $(v, v) = 0$  for all  $v$ , no orthogonal basis can exist.*

Even the existence of an orthogonal basis is far from a complete classification as shown, for example, by a Theorem of Sylvester ([14, Theorem 6.7 page 359]).

**(6.3) Example** *By the previous theorem, the symmetric matrices*

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

*are pairwise not cogradient in  $\text{Mat}_3(\mathbb{R})$ .*

### 6.1 Unitary spaces

**(6.4) Definition** *A space  $V$ , with a non-degenerate hermitian form, is called unitary.*

**(6.5) Theorem** *Let  $V$  be a unitary space. Suppose that, for all  $v \in V$ , there exists  $\mu \in \mathbb{F}$  such that  $N(\mu) := \mu\mu^{\sigma} = (v, v)$ . Then there exists an orthonormal basis of  $V$ , i.e., a basis with respect to which the matrix of the hermitian form is the identity.*

In particular such basis exists for  $\mathbb{F} = \mathbb{C}$ ,  $\sigma$  the complex conjugation, and for  $\mathbb{F} = \mathbb{F}_{q^2}$ .

*Proof* By Lemma 6.1 there exists  $v \in V$  with  $(v, v) \neq 0$ . Under our assumptions there exists  $\mu \in \mathbb{F}$  such that  $\mu\mu^\sigma = (v, v)$ . Substituting  $v$  with  $\mu^{-1}v$  we get  $(v, v) = 1$ . For  $n = 1$  the claim is proved. So suppose  $n > 1$ . The subspace  $\langle v \rangle$  is non-degenerate. It follows that  $V = \langle v \rangle \perp \langle v \rangle^\perp$ . As  $\langle v \rangle^\perp$  is non-degenerate of dimension  $n - 1$ , our claim follows by induction. ■

**(6.6) Definition** *The group of isometries of a unitary space  $V$  over  $\mathbb{F}$  of dimension  $n$ , called the unitary group of dimension  $n$  over  $\mathbb{F}$ , is indicated by  $\text{GU}_n(\mathbb{F})$ .*

By Theorem 6.5, if  $\mathbb{F} = \mathbb{C}$  and  $\sigma$  is the complex conjugation or  $\mathbb{F} = \mathbb{F}_{q^2}$ , we may assume:

$$\text{GU}_n(\mathbb{F}) = \{g \in \text{GL}_n(\mathbb{F}) \mid g^T g^\sigma = I_n\}.$$

**(6.7) Remark** *There are fields which do not admit any automorphism of order 2: so there are no unitary groups over such fields. To the already mentioned examples of  $\mathbb{R}$  and  $\mathbb{F}_{p^{2b+1}}$ , we add the algebraic closure  $\overline{\mathbb{F}}_p$  of  $\mathbb{F}_p$ , as shown below.*

By contradiction suppose there exists an automorphism  $\sigma$  of order 2 of  $\mathbb{F} := \overline{\mathbb{F}}_p$ .

Let  $\alpha \in \mathbb{F}$  be such that  $\sigma(\alpha) \neq \alpha$ . Since  $\alpha$  is algebraic over  $\mathbb{F}_p$ , we have that  $\mathbb{K} = \mathbb{F}_p(\alpha)$  is finite of order  $p^n$  for some  $n$ . Thus  $\mathbb{K}$  is the splitting field of  $x^{p^n} - x$ . It follows that  $\mathbb{K}$  is fixed by  $\sigma$  and  $\sigma|_{\mathbb{K}}$  has order 2. Thus  $n = 2m$ ,  $|\mathbb{K}| = q^2$  with  $q = p^m$  and  $\sigma(\alpha) = \alpha^q$ . Now consider the subfield  $\mathbb{L}$  of  $\mathbb{F}$  of order  $q^4$ . Again  $\mathbb{L}$  is fixed by  $\sigma$  and  $\sigma(\beta) = \beta^{q^2}$  for all  $\beta$  in  $\mathbb{L}$ . From  $\mathbb{K} \leq \mathbb{L}$  we get the contradiction  $\alpha \neq \sigma(\alpha) = \alpha^{q^2} = \alpha$ .

## 6.2 Quadratic Forms

**(6.8) Definition** *A quadratic form on  $V$  is a map  $Q : V \rightarrow \mathbb{F}$  such that:*

- (1)  $Q(\lambda v) = \lambda^2 Q(v)$  for all  $\lambda \in \mathbb{F}$ ,  $v \in V$ ;
- (2) the polar form  $(v, w) := Q(v + w) - Q(v) - Q(w)$ ,  $\forall v, w \in V$ , is bilinear.

$Q$  is non-degenerate if its polar form is non-degenerate.

Note that:

$$(6.9) \quad Q(0_V) = Q(0_{\mathbb{F}} 0_V) = (0_{\mathbb{F}})^2 Q(0_V) = 0_{\mathbb{F}}.$$

$Q$  uniquely determines its polar form  $(\cdot, \cdot)$  which is clearly symmetric. Moreover

$$(6.10) \quad 2Q(v) = (v, v), \quad \forall v \in V.$$

Indeed:  $Q(2v) = Q(v + v) = Q(v) + Q(v) + (v, v)$  gives  $4Q(v) = 2Q(v) + (v, v)$ .

It follows from (6.10) that, if  $\text{char}(\mathbb{F}) = 2$ , the polar form  $(\cdot, \cdot)$  is antisymmetric.

On the other hand, if  $\text{char} \mathbb{F} \neq 2$ , every symmetric bilinear form  $(\cdot, \cdot)$  is the polar form of the quadratic form  $Q$  defined by:

$$Q(v) := \frac{1}{2}(v, v), \quad \forall v \in V.$$

Direct calculation shows that  $Q$  is quadratic and that

$$Q(v + w, v + w) - Q(v) - Q(w) = (v, w).$$

By the above considerations, in characteristic  $\neq 2$ , the study of quadratic forms is equivalent to the study of symmetric bilinear forms. But, for a unified treatment, we study the orthogonal spaces via quadratic forms.

### 6.3 Orthogonal spaces

**(6.11) Definition** *Let  $(V, Q)$  and  $(V', Q')$  be vector spaces over  $\mathbb{F}$ , endowed with quadratic forms  $Q$  and  $Q'$  respectively. An isometry from  $V$  to  $V'$  is an invertible element  $f \in \text{Hom}_{\mathbb{F}}(V, V')$  such that*

$$Q'(f(v)) = Q(v), \quad \forall v \in V.$$

*The spaces  $(V, Q)$  and  $(V', Q')$  are isometric if there exists an isometry  $f : V \rightarrow V'$ .*

Clearly, when  $V = V'$ ,  $Q = Q'$ , the isometries of  $V$  form a subgroup of  $\text{Aut}_{\mathbb{F}}(V)$ .

**(6.12) Definition** *Let  $Q$  be a non degenerate quadratic form on  $V$ .*

- (1)  $(V, Q)$  is called an orthogonal space;
- (2) the group of isometries of  $(V, Q)$ , called the orthogonal group relative to  $Q$ , is denoted by  $O_n(\mathbb{F}, Q)$ , where  $n = \dim V$ .

Note that, in an orthogonal space, we may consider orthogonality with respect to the polar form, which is non-singular by definition of orthogonal space.

**(6.13) Lemma** *Suppose  $\text{char } \mathbb{F} = 2$ .*

- (1) *any orthogonal space  $(V, Q)$  over  $\mathbb{F}$  has even dimension;*
- (2) *the orthogonal group  $O_{2m}(\mathbb{F}, Q)$  is a subgroup of the symplectic group  $\text{Sp}_{2m}(\mathbb{F})$ .*

*Proof*

(1) The polar form of any quadratic form is antisymmetric by (6.10), hence degenerate in odd dimension.

(2) The polar form associated to  $Q$  is non-degenerate, antisymmetric and it is preserved by every  $f \in O_{2m}(\mathbb{F}, Q)$ . Indeed:

$$\begin{aligned} (v, w) &:= Q(v + w) - Q(v) - Q(w) = Q(f(v + w)) - Q(f(v)) - Q(f(w)) = \\ &Q(f(v) + f(w)) - Q(f(v)) - Q(f(w)) = (f(v), f(w)), \quad \forall v, w \in V. \end{aligned}$$

■

**(6.14) Lemma** *Let  $(V, Q)$  be an orthogonal space of dimension  $\geq 2$ . If  $Q(v_1) = 0$  for some non-zero vector  $v_1 \in V$ , then there exists  $v_{-1} \in V \setminus \langle v_1 \rangle$  such that:*

$$(6.15) \quad Q(x_1 v_1 + x_{-1} v_{-1}) = x_1 x_{-1}, \quad \forall x_1, x_{-1} \in \mathbb{F}.$$

*The subspace  $\langle v_1, v_{-1} \rangle$  is non-singular.*

*Proof*  $Q(v_1) = 0$  gives  $(v_1, v_1) = 2Q(v_1) = 0$ . As the polar form of  $Q$  is non-degenerate, there exists  $u \in V$  with  $(v_1, u) \neq 0$ . In particular  $v_1$  and  $u$  are linearly independent. Set

$$v_{-1} := (v_1, u)^{-1}u - (v_1, u)^{-2}Q(u)v_1.$$

Then  $v_{-1} \notin \langle v_1 \rangle$  and:

$$(v_1, v_{-1}) = 1, \quad Q(v_{-1}) = (v_1, u)^{-2}Q(u) - (v_1, u)^{-2}Q(u) = 0.$$

Using the assumption  $Q(v_1) = 0$  we get (6.15). The subspace is non-singular as the matrix of the polar form with respect to  $\{v_1, v_{-1}\}$  is  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  ■

**(6.16) Definition** *An orthogonal space  $(V, Q)$  is called anisotropic if  $Q(v) \neq 0$  for all non-zero vectors  $v \in V$ .*

Non-singular anisotropic spaces exist.

**(6.17) Example** *Let  $V$  be a separable, quadratic field extension of  $\mathbb{F}$ . Then*

$$|\mathrm{Gal}_{\mathbb{F}}(V)| = \dim_{\mathbb{F}} V = 2 \implies \mathrm{Gal}_{\mathbb{F}}(V) = \langle \sigma \rangle, \quad \mathbb{F} = V_{\langle \sigma \rangle}.$$

The Norm map  $N_{\mathbb{F}}^V : V \rightarrow \mathbb{F}$  defined by:

$$N_{\mathbb{F}}^V(v) := vv^{\sigma}, \quad \forall v \in V$$

is a non-degenerate anisotropic quadratic form on  $V$ .

More details are given in the next Lemma.

**(6.18) Lemma** *Let  $f(t) = t^2 + at + b \in \mathbb{F}[t]$  be separable, irreducible and consider*

$$V = \frac{\mathbb{F}[t]}{\langle t^2 + at + b \rangle} = \{x_1 + x_{-1}t \mid x_1, x_{-1} \in \mathbb{F}\}$$

with respect to the usual sum of polynomials and product modulo  $f(t)$ . Then :

$$(6.19) \quad N_{\mathbb{F}}^V(x_1 + x_{-1}t) = x_1^2 - ax_1x_{-1} + bx_{-1}^2, \quad \forall x_1, x_{-1} \in \mathbb{F}.$$

With respect to the basis  $\{1, t\}$ , the polar form of  $N_{\mathbb{F}}^V$  is the non-singular matrix

$$J = \begin{pmatrix} 2 & -a \\ -a & 2b \end{pmatrix}.$$

*Proof* Let  $\mathrm{Gal}_{\mathbb{F}}(V) = \langle \sigma \rangle$ . Then  $t$  and  $t^{\sigma}$  are the roots of  $f(t)$  in  $V$ . Thus

$$t + t^{\sigma} = -a, \quad tt^{\sigma} = b, \quad x^{\sigma} = x, \quad \forall x \in \mathbb{F}.$$

It follows:

$$N_{\mathbb{F}}^V(x_1 + x_{-1}t) = (x_1 + x_{-1}t)(x_1 + x_{-1}t^{\sigma}) = -ax_1x_{-1} + x_1^2 + bx_{-1}^2.$$

$J$  is non-degenerate since  $\mathrm{Det}(J) = 4b - a^2 \neq 0$  by the irreducibility of  $t^2 + at + b$  (and its separability when  $\mathrm{char} \mathbb{F} = 2$ ). ■

**(6.20) Remark** *If  $\mathbb{F} = \mathbb{F}_q$  then  $V = \mathbb{F}_q^2$  and the map  $N_{\mathbb{F}}^V : \mathbb{F}_q^2 \rightarrow \mathbb{F}_q$  coincides with  $v \mapsto vv^q = v^{q+1}$ . As shown in Section 5 it is surjective. It follows that the map  $\begin{pmatrix} x_1 \\ x_{-1} \end{pmatrix} \mapsto x_1^2 - ax_1x_{-1} + bx_{-1}^2$  from  $\mathbb{F}_q^2$  to  $\mathbb{F}_q$  is surjective.*

The anisotropic orthogonal spaces are only those of Example 6.17. We first show:

**(6.21) Theorem** *Let  $(W, Q)$  be an anisotropic orthogonal space of dimension 2.*

(1) *For each non-zero vector  $v_1 \in W$  there exists  $v_{-1} \in W \setminus \{v_1\}$  such that*

$$(6.22) \quad Q(x_1 v_1 + x_{-1} v_{-1}) = Q(v_1) (x_1^2 + \zeta x_{-1}^2 + x_1 x_{-1}) \quad \forall x_1, x_{-1} \in \mathbb{F}$$

*where  $t^2 - t + \zeta$  is irreducible in  $\mathbb{F}[t]$ .*

(2) *If the map  $\mathbb{F}^2 \rightarrow \mathbb{F}$  defined by  $\begin{pmatrix} x_1 \\ x_{-1} \end{pmatrix} \mapsto x_1^2 + \zeta x_{-1}^2 + x_1 x_{-1}$  is onto, the space  $(W, Q)$  is isometric to  $(V, N_{\mathbb{F}}^V)$ , where  $V = \frac{\mathbb{F}[t]}{\langle t^2 - t + \zeta \rangle}$ .*

*In particular:*

- *if  $\mathbb{F}$  is algebraically closed, no such  $W$  exists;*
- *if  $\mathbb{F} = \mathbb{F}_q$ , all orthogonal anisotropic 2-dimensional spaces are isometric.*

*Proof*

(1) We first show that there exists  $w \in W \setminus \langle v_1 \rangle$  such that  $(v_1, w) \neq 0$ . Indeed, if  $(v_1, v_1) \neq 0$ , then  $W = \langle v_1 \rangle \oplus \langle v_1 \rangle^\perp$  and we take  $w = v_1 + u$  with  $u \in \langle v_1 \rangle^\perp$ . If  $(v_1, v_1) = 0$ , then  $\langle v_1 \rangle \leq \langle v_1 \rangle^\perp \neq W$  and we take  $w \in W \setminus \langle v_1 \rangle^\perp$ .

Now set:

$$v_{-1} := Q(v_1)(v_1, w)^{-1}w, \quad \zeta = \frac{Q(v_{-1})}{Q(v_1)}.$$

It follows  $(v_1, v_{-1}) = Q(v_1)$  and, for all  $x_1, x_{-1} \in \mathbb{F}$ :

$$Q(x_1 v_1 + x_{-1} v_{-1}) = x_1^2 Q(v_1) + x_{-1}^2 Q(v_{-1}) + x_1 x_{-1} Q(v_1) = Q(v_1) (x_1^2 + \zeta x_{-1}^2 + x_1 x_{-1}).$$

In particular, for  $x_{-1} = 1$ , we get  $x_1 v_1 + v_{-1} \neq 0$ , whence:

$$0 \neq Q(x_1 v_1 + v_{-1}) = Q(v_1) (x_1^2 + x_1 + \zeta), \quad \forall x_1 \in \mathbb{F}.$$

Thus  $t^2 + t + \zeta$  is irreducible in  $\mathbb{F}[t]$ , since it has no roots in  $\mathbb{F}$ . It follows that  $t^2 - t + \zeta$  is also irreducible.

(2) There exists  $\begin{pmatrix} \lambda \\ \mu \end{pmatrix} \in \mathbb{F}^2$  such that  $\lambda^2 + \zeta \mu^2 + \lambda \mu = Q(v_1)^{-1}$ . Substituting  $v_1$  with  $\lambda v_1 + \mu v_{-1}$  in point (1), we may suppose  $Q(v_1) = 1$ . Then (6.22) gives  $Q(x_1 v_1 + x_{-1} v_{-1}) = x_1^2 + \zeta x_{-1}^2 + x_1 x_{-1}$ . We conclude that the map  $f = W \rightarrow \frac{\mathbb{F}[t]}{\langle t^2 - t + \zeta \rangle}$  defined by:

$$(6.23) \quad x_1 v_1 + x_{-1} v_{-1} \mapsto x_1 + x_{-1} t$$

is an isometry in virtue of (6.19).

Finally, suppose  $\mathbb{F} = \mathbb{F}_q$  and let  $(V, N_{\mathbb{F}_q}^V)$   $(V', N_{\mathbb{F}_q}^{V'})$  be 2-dimensional anisotropic orthogonal spaces. Since  $V$  and  $V'$  are finite fields of the same order, there exists a field automorphism  $f : V \rightarrow V'$  such that  $f|_{\mathbb{F}_q} = \text{id}$ . From

$$f(v)f(v^q) = f(vv^q) = vv^q, \quad \forall v \in V$$

we conclude that  $f$  is an isometry. ■

**(6.24) Corollary** *Let  $(V, Q)$  be an orthogonal space, with  $V = \mathbb{F}_q^{2m}$ .*

(1) *There exists a basis  $\mathcal{B} = \{v_1, \dots, v_m, v_{-1}, \dots, v_{-m}\}$  of  $V$  such that either  $Q = Q^+$  or  $Q = Q^-$  where, for all  $v = \sum_{i=1}^m x_i v_i + x_{-i} v_{-i} \in V$ :*

- $Q^+(v) = \sum_{i=1}^m x_i x_{-i}$ ;
- $Q^-(v) = \sum_{i=1}^m x_i x_{-i} + x_m^2 + \zeta x_{-m}^2$ , with  $t^2 - t + \zeta$  a fixed, separable irreducible polynomial in  $\mathbb{F}_q[t]$  (arbitrarily chosen with these properties).

(2)  $Q^+$  has polar form  $\sum_{i=1}^m (x_i y_{-i} + x_{-i} y_i)$ , with matrix  $J_1 = \begin{pmatrix} \mathbf{0} & I_m \\ I_m & \mathbf{0} \end{pmatrix}$ ;

$Q^-$  has polar form  $\sum_{i=1}^m (x_i y_{-i} + x_{-i} y_i) + 2(x_m y_m + \zeta x_{-m} y_{-m})$ , with matrix

$$J_2 = \begin{pmatrix} \mathbf{0} & I_{m-1} & 0 & 0 \\ I_{m-1} & \mathbf{0} & 0 & 0 \\ 0 & 0 & 2 & 1 \\ 0 & 0 & 1 & 2\zeta \end{pmatrix}.$$

(3)  $(V, Q^+)$  is not isometric to  $(V, Q^-)$ .

The corresponding groups of isometries are indicated by  $O_{2m}^+(q)$  and  $O_{2m}^-(q)$ .

*Proof*

(1) Let  $m = 1$ . If  $V$  is non-anisotropic, Lemma 6.14 gives  $Q = Q^+$ . If  $V$  is anisotropic, Theorem 6.21 gives  $Q = Q^-$ . So assume  $m > 1$ .

**Step 1.** We claim that there exists a non-zero vector  $v_1 \in V$  such that  $Q(v_1) = 0$ .

By the same argument used in the proof of point (1) of Theorem 6.21, there exists a non-singular 2-dimensional subspace  $W = \langle v_m, v_{-m} \rangle$ . We may assume that  $W$  is anisotropic. Hence  $(W, Q)$  is isometric to  $(\mathbb{F}_{q^2}, N_{\mathbb{F}_q}^{\mathbb{F}_{q^2}})$  and

$$Q(x_m v_m + x_{-m} v_{-m}) = x_m x_{-m} + x_m^2 + \zeta x_{-m}^2, \quad \forall x_m, x_{-m} \in \mathbb{F}_q$$

for some irreducible polynomial  $t^2 - t + \zeta \in \mathbb{F}[t]$ .

Take a non-zero vector  $w$  in  $W^\perp$ . By the surjectivity of the norm for finite fields, there exist  $u \in W$  such that  $Q(u) = -Q(w)$ . Then  $v_1 = u + w \neq 0$ , since  $W \cap W^\perp = \{0\}$ . Moreover, from  $(u, w) = 0$ , we get:  $Q(v_1) = Q(u + w) = Q(u) + Q(w) = 0$ .

**Step 2.** By Lemma 6.14 there exists a non-singular 2-dimensional subspace  $\langle v_1, v_{-1} \rangle$  such that  $Q(x_1 v_1 + x_{-1} v_{-1}) = x_1 x_{-1}$ . We get:

$$V = \langle v_1, v_{-1} \rangle \oplus \langle v_1, v_{-1} \rangle^\perp.$$

By induction,  $\langle v_1, v_{-1} \rangle^\perp$  has a basis  $\mathcal{B}' = \{v_2 \dots, v_m, v_{-2} \dots, v_{-m}\}$  such that the restriction of  $Q$  to  $\langle v_1, v_{-1} \rangle^\perp$  is either  $Q^+$  or  $Q^-$ . This gives (1).

(2) Routine calculation using (1).

(3)  $V$  is a direct sum of mutually orthogonal 2-dimensional spaces:

$$V = \langle v_1, v_{-1} \rangle \perp \dots \perp \langle v_m, v_{-m} \rangle$$

with the further property  $(v_i, v_i) = 0$ ,  $1 \leq i \leq m-1$ . For  $Q^+$  we have also  $(v_m, v_m) = 0$ , so that  $\langle v_1, \dots, v_m \rangle$  is a totally isotropic space of largest possible dimension  $m = \frac{n}{2}$  (see Lemma 3.9). For  $Q^-$  the space  $W = \langle v_1, \dots, v_{m-1} \rangle$  is totally isotropic. It follows:

$$W \oplus \langle v_m, v_{-m} \rangle = W^\perp.$$

Let  $\widehat{W}$  be a totally isotropic space which contains  $W$ . Then

$$W = W + \left( \widehat{W} \cap \langle v_m, v_{-m} \rangle \right) = W + \{0\} = W$$

since  $\langle v_m, v_{-m} \rangle$  is anisotropic. We conclude that  $W = \widehat{W}$ , i.e.,  $W$  is a maximal isotropic space of dimension  $m-1$ . So  $Q^+$  and  $Q^-$  cannot be isometric. ■

**(6.25) Theorem** *Let  $(V, Q)$  be an orthogonal space, with  $V = \mathbb{F}_q^{2m+1}$ ,  $q$  odd. There exists a basis of  $V$  such that the matrix of the polar form is one of the following:*

$$(6.26) \quad I_{2m+1} = \begin{pmatrix} 1 & & \\ & \dots & \\ & & 1 \end{pmatrix}, \quad J = \begin{pmatrix} I_{2m} & \\ & \epsilon \end{pmatrix},$$

where  $\epsilon$  is a fixed non-square in  $\mathbb{F}_q^*$  (arbitrarily chosen with this property). The two polar forms  $I_{2m+1}$  and  $J$  give rise to non-isometric orthogonal spaces, but their groups of isometries are conjugate, hence isomorphic. Both groups are indicated by  $O_{2m+1}(q)$ .



*Proof* We first show that, if an orthogonal space  $V$  over  $\mathbb{F}_q$ , has dimension  $> 1$ , then there exists  $v_1 \in V$  with  $(v_1, v_1) = 1$ . By Lemma 6.1, there exists  $v_1$  such that  $(v_1, v_1) \neq 0$ . Thus  $(v_1, v_1) = \rho^2$  or  $(v_1, v_1) = \rho^2\epsilon$  for some  $\rho \in \mathbb{F}_q^*$ . Substituting  $v_1$  with  $\rho^{-1}v_1$ , if necessary, we have  $(v_1, v_1) \in \{1, \epsilon\}$ . If  $(v_1, v_1) = \epsilon$ , set  $\lambda^2 + \mu^2 = \epsilon^{-1}$ . Again by Lemma 6.1, applied to  $\langle v_1 \rangle^\perp$ , there exists  $v_2 \in \langle v_1 \rangle^\perp$  such that  $(v_2, v_2) \neq 0$ . If  $(v_2, v_2) = 1$ , we substitute  $v_1$  by  $v_2$ . If  $(v_2, v_2) = \epsilon$ , we substitute  $v_1$  by  $\lambda v_1 + \mu v_2$ .

Now we prove our claim. If  $m = 1$  we can take  $\mathcal{B} = \{v_1\}$  with  $(v_1, v_1) \in \{1, \epsilon\}$ . If  $m > 1$  we take  $v_1$  with  $(v_1, v_1) = 1$ . Then  $V = \langle v_1 \rangle \perp \langle v_1 \rangle^\perp$  and our claim follows by induction on  $\dim V$  applied to  $\langle v_1, v_2 \rangle^\perp$ .

$I_{2m+1}$  and  $J$  define non isometric spaces because the dimension of a maximal isotropic space are, respectively,  $m$  and  $m - 1$ . So  $J$  is not cogradient to  $I_{2m+1}$ . Also  $\epsilon I_{2m+1}$  is not cogradient to  $I_{2m+1}$ , otherwise we would have  $\epsilon I_{2m+1} = P^T I_{2m+1} P$ , a contradiction as  $\epsilon^{2m+1} = \det(\epsilon I_{2m+1})$  is not a square. Since, over  $\mathbb{F}_q$ , there are only 2 non-isometric orthogonal spaces,  $J$  is cogradient to  $\epsilon I_{2m+1}$ . Now  $I_{2m+1}$  and  $\epsilon I_{2m+1}$  have the same group of isometries, since:

$$h^T(\epsilon I_{2m+1})h = \epsilon I_{2m+1} \iff h^T I_{2m+1} h = I_{2m+1}.$$

We conclude that the groups of isometries of  $I_{2m+1}$  and  $J$  are conjugate. ■

## 7 Exercises

**(7.1) Exercise** Show that  $\mathrm{SL}_2(\mathbb{F}) = \mathrm{Sp}_2(\mathbb{F})$  over any field  $\mathbb{F}$ .

**(7.2) Exercise** Let  $(V, Q, \mathbb{F})$  be an orthogonal space. Suppose  $V = V_1 \perp V_2$ .

Show that, for each  $v = v_1 + v_2$  with  $v_1 \in V_1$ ,  $v_2 \in V_2$ :

$$Q(v) = Q(v_1) + Q(v_2).$$

**(7.3) Exercise** Let  $V$  be a quadratic extension of  $\mathbb{F}$  and  $\langle \sigma \rangle = \mathrm{Gal}_{\mathbb{F}}(V)$ .

Show that the map  $N_{\mathbb{F}} : V \rightarrow \mathbb{F}$ , defined by  $N_{\mathbb{F}}^V(v) := vv^\sigma$  is a quadratic form on  $V$ .

**(7.4) Exercise** In Lemma 6.18 show that the quadratic form

$$N_{\mathbb{F}}^V(x_1 + x_{-1}t) = x_1^2 - ax_1x_{-1} + b$$

has matrix  $J = \begin{pmatrix} 2 & -a \\ -a & 2b \end{pmatrix}$  with respect to the basis  $\{1, t\}$ .

**(7.5) Exercise** Say whether the matrices

$$J = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}, \quad J' = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

are cogredient. In case they are, indicate a non-singular matrix  $P$  such that  $P^T J P = J'$ .

**(7.6) Exercise** Let  $V$  be an anisotropic 2-dimensional orthogonal space over  $\mathbb{F}_q$ ,  $q$  odd. Show that there exists a basis for which the polar form has matrix:  $\begin{pmatrix} 1 & 0 \\ 0 & -\epsilon \end{pmatrix}$ , where  $\epsilon$  is a non square in  $\mathbb{F}_q$ .

**(7.7) Exercise** Let  $q$  be odd. Show that  $-1$  is a square in  $\mathbb{F}_q$  if and only if

$$q \equiv 1 \pmod{4}.$$

**(7.8) Exercise** Let  $q$  be odd and  $\epsilon \in \mathbb{F}_q$  be a non-square. Show that the matrices

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ 0 & \epsilon \end{pmatrix}$$

are not cogredient (equivalently define non-isometric orthogonal spaces).

**(7.9) Exercise** Let  $q$  be odd and  $\epsilon \in \mathbb{F}_q$  be a non-square. Show that the matrix  $J = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  is respectively cogredient to

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \text{ if } q \equiv 1 \pmod{4}, \quad \begin{pmatrix} 1 & 0 \\ 0 & \epsilon \end{pmatrix} \text{ if } q \equiv 3 \pmod{4}.$$

**(7.10) Exercise** Let  $W$  be a totally isotropic subspace of an orthogonal space  $V$ . Suppose

$$V = W \oplus U$$

with  $U$  anisotropic. Show that  $W$  is a maximal isotropic subspace of  $V$ .

**(7.11) Exercise** Let  $q$  be odd,  $V = \mathbb{F}_q^n$  be a quadratic space, with  $n = 2m$ . Using the classification of quadratic spaces given in this Chapter, show that there exists a basis of  $V$  with respect to which the polar form has matrix  $J_1$  or  $J_2$  where

$$J_1 = \begin{pmatrix} \mathbf{0} & I_m \\ I_m & \mathbf{0} \end{pmatrix}, \quad J_2 = \begin{pmatrix} \mathbf{0} & I_{m-1} & & \\ I_{m-1} & \mathbf{0} & & \\ & & 1 & \\ & & & -\epsilon \end{pmatrix}.$$